



PCT/GB 00 / 0 2 1 3 7



INVESTOR IN PEOPLE

GB00/2137

**PRIORITY  
DOCUMENT**SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)The Patent Office  
Concept House  
Cardiff Road  
Newport  
South Wales

NP10 8QQ REC'D 28 JUN 2000	
WIPO	PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated 2 June 2000



# Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)



07JUN99 E452425-1 DQ2882  
P01/7700 0.00 - 9913082.5

The Patent Office

Cardiff Road  
Newport  
Gwent NP9 1RH

1. Your reference 53034000/BA3047

2. Patent application number  
(The Patent Office will fill in this part)

04 JUN 1999

9913082.5

3. Full name, address and postcode of the or of each applicant (underline all surnames)

MICROFLOW LIMITED  
WALWORTH ROAD  
ANDOVER  
HAMPSHIRE  
SP10 5AA

752432500

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

UNITED KINGDOM

4. Title of the invention

IMPROVEMENTS IN OR RELATING TO A METHOD OF  
STERILIZING A SEALED ENCLOSURE

5. Name of your agent (if you have one)

BOULT WADE TENNANT  
27 FURNIVAL STREET  
LONDON  
EC4A 1PQ

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Patents ADP number (if you know it)

42001

If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number  
(if you know it)

Date of filing  
(day/month/year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request?

YES

(Answer 'Yes' if:

a) any applicant named in part 3 is not an inventor, or  
b) there is an inventor who is not named as an applicant, or  
c) any named applicant is a corporate body.  
See note (d))

# Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form NONE

Description *78* /

Claim(s) 2 / *a*

Abstract

Drawing(s) 1 +1 /

10. If you are also filing any of the following, state how many against each item.

Priority documents NONE

Translations of priority documents NONE

Statement of inventorship and right to grant of a patent (Patents Form 7/77) NONE

Request for preliminary examination and search (Patents Form 9/77) NONE

Request for substantive examination (Patents Form 10/77) NONE

Any other documents (Please specify) NONE

- 11 I/We request the grant of a patent on the basis of this application.

Signature



Date

4 June 1999

12. Name and daytime telephone number of person to contact in the United Kingdom GEOFFREY C. BAYLISS  
0171430 7500

## Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

## Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 01645 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

IMPROVEMENTS IN OR RELATING TO A METHOD  
OF STERILIZING A SEALED ENCLOSURE

5 The present invention relates to decontamination and sterilisation systems and more particularly to the control of gaseous decontamination and systems where the vapour has more than one component.

10 Conventional gas sterilisation and decontamination systems have been designed in order to avoid condensation, and as such both flow through and recirculating systems have been so organised as to keep the vapour concentrations, especially of water, below the dew point. Examples of such systems are described  
15 in European Patent EP0486623B1, UK Patent 2 217 619 B, WO 89/06140 and UK Patent application GB 2308 066 A

20 More recent work has shown that for rapid surface sterilisation and decontamination in rooms and smaller chambers, or isolators, condensation of a mixture of vapours of a gaseous decontaminant such as hydrogen peroxide and water is essential.

25 The object of the present invention is to control the sterilisation and decontamination systems both for closed recirculating systems, flow through systems and systems which use recirculation with a proportion of the recirculation air or air/gas mixture being exhausted from the closed system so that condensation may occur  
30 rapidly, evenly and controllable through the area to be sterilised or decontaminated.

35 This invention provides a method of sterilizing a sealed enclosure comprising the steps of: circulating the gas through the enclosure, and through a preparation region, in the preparation region dispensing a decontaminant gas

and water vapour mixture into the circulating gas to flow therewith through the enclosure to reach a concentration in the enclosure above the dew point for the ambient temperature in the chamber and thereby to  
5 condense onto surfaces in the enclosure to sterilise such surfaces; wherein the temperature and the concentration of the decontaminant gas in the enclosure are monitored and the rate of dispensing of the decontaminant gas and water vapour into the gas in the  
10 preparation regions is controlled in response to the levels determined by said monitoring to provide a predetermined rate of condensation of the decontaminant gas and water vapour in the enclosure.

15 For the purpose of this patent the term decontaminate shall in future include both chemical and microbiological decontamination. Microbiological decontamination shall mean the reduction of the viable bioburden, which is generally described either as  
20 sterilisation, sanitation or disinfection.

The invention provides a method of decontaminating the interior surfaces of a sealed enclosure and any contents of the enclosure.

25 The term sealed enclosure shall include any chamber or room that may for practical purposes be sealed so as to prevent the escape of such amounts of active gas as to cause a hazard.

30 The sealed enclosure is connected to a means of processing by two pipes through which air or a mixture of air and gases, where the gases are hydrogen peroxide and water vapour, may circulate. The air or mixture of  
35 air and gases being delivered from the means of processing to the sealed enclosure to then be returned to the processing means or alternatively a flow through

system where the air or air/gas mixture is vented from the sealed enclosure in a safe manner. The air or mixture of air and gases on entering the means of processing may, if necessary, first pass through a system of purification to remove and make safe any gases within the mixture of air and gases. This purification process will not normally be required because of the stability of the gas mixture. Hydrogen peroxide gas has been shown to be stable in the homogenous vapour phase at ambient and temperatures below 300°C. Decomposition will occur on surfaces but only at insignificant rates on those surfaces generally found in clean rooms and isolators. High rates of decomposition will occur on certain organic substances such as micro-organisms but as the quantity of these materials is very small the total amount of decomposition is also very small, and hence does not significantly affect the gas concentration. A fan or pump or compressor is then used to propel the air or mixture of air and gases around the system, and drive the fluid through the evaporation chamber where additional gases are added to the air or air gas mixture. The enriched air/gas mixture is then passed through the connection from the processing means to the sealed chamber.

The function of the air/gas mixture in the sealed chamber is to decontaminate the surfaces of the chamber.

Similar systems have been employed for some time for the surface sterilisation of sealed enclosures, but in these applications it has always been considered important to avoid condensation, Patent EP 0 486 623 B1 specifically sets out a table of operation to avoid condensation. The present invention sets out a method of decontamination by micro condensation and provides for a method of control. It has been established that faster and more reliable surface decontamination may be

achieved if micro condensation is encouraged and controlled. The dew point of any hydrogen peroxide and water vapour mixture may be ascertained from the activity coefficients for the gases, and by using a  
5 combination of dew point data, the actual dew point within the sealed chamber and the temperature it is possible to calculate the concentration of hydrogen peroxide in the condensate.

10 A knowledge of the condensation parameters, and the amount of condensation allows a prediction of the time at which surface decontamination will occur. For such a system to function reliably it is also essential that there is very good distribution of gas within the sealed  
15 enclosure.

The active gas in such micro-condensation systems used for decontamination is not limited to hydrogen peroxide but includes a gas or mixture of gases that exhibits the  
20 correct vapour pressure characteristics.

The following is a description of one embodiment of the invention, reference being made to the accompanying drawing which is a diagrammatic illustration of a  
25 decontamination apparatus for a sealed enclosure.

The sealed enclosure (1) is connected to a sealed outlet pipe at (2) which connects to the processing means at (3). The air or air/gas mixture then passes through a  
30 filter (4) to remove particulate contamination. As an option if it is considered that the gas mixtures may have partially decomposed in the sealed chamber the air or air/gas mixture may be passed through a purification process (5). Step (5) is only required in exceptional  
35 circumstances when significant decomposition of the active gas has taken place. This component would not normally form part of the processing means. The air or



air/gas mixture should then be heated in (7) to bring it to a stable temperature before passing to the fan or pump or compressor (8) which is used to drive the air or air/gas mixture through the processing means, the connecting pipes and the sealed enclosure. The volumetric flow is then measured in (9) before the air or air gas mixture is passed to the evaporation chamber (10) where more of the gas mixture is added by evaporation of the decontamination solution on a hot surface. The air or air /gas mixture passes through a filter (25) before entering the evaporation chamber (10) to ensure that particulate matter is removed from the flow. The rate at which the liquid is fed to the evaporation chamber (10) is controlled by the Liquid Flow Module (22).

Because it may be necessary to control the pressure inside the sealed enclosure a pressure control module (21) is used to raise or lower the pressure by supply or extracting air. Any air added to the system must be filtered (23) and any air extracted must be rendered safe by the removal of any active gas either by absorbing the gas or by decomposition with a catalyst (24). The air or air/gas mixture leaves the processing means at (11) through a sealed connecting pipe and is delivered to the sealed enclosure at (12). Within the sealed enclosure is a gas distribution device (13) which generates sufficient turbulence in the air or air/gas mixture within the sealed enclosure to ensure rapid and even distribution of the air or air/gas mixture.

The gas distribution system in the simplest form would be a circulating fan mounted inside the sealed enclosure which generated sufficient turbulence in the air gas mixture to generate an even distribution of gas. A more affective technique would be to use a nozzle rotating about two axles at right angles directing a jet of gas

as it is delivered to the chamber at high velocity over a fixed pattern. The use of such a rotating nozzle has the advantage of generating repeating patterns over the internal surface of the sealed chamber. It also allows  
5 the air/gas mixture to be delivered at an optimum temperature from a heated pipe (11) to (12) and by correct design of the nozzle allows the delivered gas velocity to be adjusted to suit the geometry of the chamber.

10 A pressure sensing point (14) on the sealed enclosure is connected by a sealed tube to the pressure sensing device at (16). The signal from the pressure sensor is transmitted to the control module (19) which in turn  
15 sends signals to the pressure control module (21) to adjust the internal pressure of the sealed enclosure. Such pressure control may be inactivated when it is not possible because of the size of construction of the sealed enclosure or when pressure control is not  
20 required. The dew point and condensation monitor (17) is connected electronically to the processing unit (18) which may be either attached to the sealed enclosure or in the processing means. The signal from the dew point and condensation processing unit is passed to the  
25 control module and is used to control the rate of micro-condensation that occurs inside the sealed enclosure. The temperature (15) of the air or the air/gas mixture either inside the sealed enclosure, or leaving the sealed enclosure, or on entering the processing means is  
30 measured and the signal passed to the control module (19). A gas sensor (20) measures the gas concentration either inside the sealed enclosure, or on leaving the sealed enclosure, or on entering the processing means. The signal from the gas sensor is transmitted  
35 electronically to the control module (19). If the distance from the processing means to the sealed enclosure is great the pipe connecting (11) to (12)

should be heated and insulated to maintain the temperature above the dew point of the air/gas mixture being delivered from the evaporation chamber..

## 5 Method of Control

As the decontamination process relies on micro-condensation on particles on the surface it is important that this process is controlled. This control is achieved with reference to the dew point and rate of condensation as measured on the dew point and condensation sensor (17) together with the temperature sensor (15) and the gas sensor (20).

After an initial stabilisation period during which the air flow and temperature are stabilised, the liquid flow module (22), under the direction of the control module (19) will start to dispense a measured flow of liquid to the evaporation chamber (10). This measure flow of liquid will be turned into a gas mixture in the evaporation chamber and mixed with a measured flow of air as measured by the flow measurement device (9) and controlled via the control module (19) by the fan or pump or compressor (8).

This technique will provide a predetermined air gas mixture concentration which will be delivered to the sealed enclosure (1) and evenly distributed throughout the chamber by the distribution device (13). This air/gas mixture must have a concentration above the dew point for the temperature of the sealed enclosure (1). Once sufficient air/gas mixture has circulated round the system through the sealed enclosure and the processing means to raise the air/gas concentration above the dew point then the condensation will occur and be signalled by the dew point and condensation sensor (17). From a knowledge of the temperature as indicated by the

temperature sensor (15) and the gas concentration as indicated by the gas sensor (20) and the dew point it is possible to derive the concentration of the sterilant in the micro-condensation. Once the dew point has been reached the rate of liquid delivered by the liquid flow module (22) to the evaporation chamber (10) will be adjusted to achieve the required rate of condensation in the sealed enclosure. After a sufficient amount of condensation has occurred as measured by the dew point and condensation sensor (17) and also by the amount of liquid delivered from the liquid flow module (22) to the evaporation chamber (10) then the liquid flow is stopped as decontamination will have been achieved. The amount of condensation in any sealed enclosure to achieve decontamination will have to be demonstrated by the use of a testing technique suitable for the containment.

Once the liquid flow from the liquid flow module (22) to the evaporation chamber (10) has been stopped then a system to remove the decontaminant gas from the sealed enclosure (1) must be operated. This may either consist of a method of passing clean filtered air through the sealed enclosure (1) and passing the air from the sealed enclosure which will then contain active gas safely to atmosphere or by circulating the air/gas mixture through an auxiliary circuit to remove the decontaminant gas. Such an auxiliary circuit could be either a catalyst decomposition device or an absorption technique such as activated carbon. It may also be possible to use a combination of both methods, first reducing the concentration with a catalyst or activated carbon and then passing the balance safely to atmosphere.

## CLAIMS

1. A method of sterilizing a sealed enclosure comprising the steps of: circulating the gas through the enclosure, and through a preparation region, in the preparation region dispensing a decontaminant gas and water vapour mixture into the circulating gas to flow therewith through the enclosure to reach a concentration in the enclosure above the dew point for the ambient temperature in the chamber and thereby to condense onto surfaces in the enclosure to sterilise such surfaces; wherein the temperature and the concentration of the decontaminant gas in the enclosure are monitored and the rate of dispensing of the decontaminant gas and water vapour into the gas in the preparation region is controlled in response to the levels determined by said monitoring to provide a predetermined rate of condensation of the decontaminant gas and water vapour in the enclosure.
2. A method of sterilizing a sealed enclosure as claimed in claim 1, wherein the gas circulated through the enclosure is air.
3. A method as claimed in claim 1 or claim 2, wherein the gas is filtered in said preparation region prior to circulation through the enclosure.
4. A method of sterilizing a sealed enclosure as claimed in any of the preceding claims, wherein means are provided to monitor the gas pressure in the enclosure and means are provided to adjust the gas pressure therein by controlling the supply of gas circulating through the enclosure.
5. A method as claimed in any of the preceding claims, wherein after a sufficient amount of decontaminant gas

has been condensed in the chamber to achieve decontamination, supply of the decontaminant gas and water vapour mixture to the preparation region is terminated and the decontaminant gas is removed from the sealed enclosure.

6. A method of sterilizing a sealed enclosure as claimed in claim 5, wherein the method of removing the decontaminant gas from the sealed enclosure comprises the step of passing clean filtered gas through the enclosure and releasing the gas exiting the enclosure to atmosphere, or by circulating the gas exiting the enclosure through an auxiliary circuit containing a catalytic decomposition device or absorption device for the decontaminant gas to remove the decontaminant gas.

7. A method as claimed in any of the preceding claims further including purifying the circulating gases in said preparation region to remove any decomposed decontaminant gas from the gases being circulated.

8. A method as claimed in any of the preceding claims further including the step of heating the gases being circulated in the preparation region to maintain the gases as a required temperature for the sealed enclosure.

30

Fig 1



